



**CITY OF PHOENIX, ARIZONA  
OFFICE OF THE CITY ENGINEER  
DESIGN AND CONSTRUCTION PROCUREMENT**

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**REQUEST FOR QUALIFICATIONS**

**STREET TRANSPORTATION DEPARTMENT  
ENVIRONMENTAL /  
NATURAL-CULTURAL RESOURCES /  
NATIONAL ENVIRONMENTAL POLICY ACT  
ON-CALL CONSULTING SERVICES  
CALENDAR YEARS 2023-2024**

**PROCUREPHX PRODUCT CATEGORY CODES  
925000000 and 926000000  
RFx 6000001295**

# REQUEST FOR QUALIFICATIONS

The City of Phoenix is seeking up to eight qualified consultants to provide environmental technical and regulatory assistance on-call services on an as-needed basis from January 1, 2023 to December 31, 2024, with an option to extend the contract for an additional one-year period. These contracts will be administered by the Street Transportation Department Environmental Services Section, under the Office of the City Engineer.

## **SECTION I – SCOPE OF WORK**

The scope of work shall be focused on environmental technical and/or regulatory assistance for various disciplines, including, but not limited to:

- National Environmental Policy Act (NEPA) documentation and related supporting technical analysis and reports
- Cultural resources
  - Archival research; surveying; field monitoring; testing; data recovery; reporting; and/or permitting
  - Section 106 compliance
- Biology and natural resources
  - Wildlife or native plant species surveys
  - Vegetation management plans
  - Habitat assessments
  - Biological evaluations or other related studies
- Rivers and Harbors Act
- Clean Water Act / sections 404, 401, and 402, including, but not limited to:
  - State and federal wastewater and stormwater permitting assistance
  - Regulatory reporting and document preparation (e.g., annual reports, Stormwater Management Plans, Pretreatment Program Plans etc.)
  - Environmental assessments, studies, and technical support (e.g., pollutant loading estimates, pollutant source evaluations, drainage area studies, etc.)
  - Public and/or agency outreach
- Air Quality and Noise, including, but not limited to:
  - Permitting
  - Monitoring, modeling, source testing, etc.
  - Reporting and document preparation
- Green infrastructure
- Evaluation / assessment studies Developing or updating environmental compliance training programs

The firm will provide vehicles, laptops/tablets, printer/scanner, cell phones, mobile hotspots and other equipment deemed necessary to complete the tasks.

## **SECTION II - PRE-SUBMITTAL MEETING**

A pre-submittal meeting will be held at 1:00 p.m., Phoenix time on Monday, August 22, 2022, at 200 W. Washington Street, City Hall Conference Room PCH5 W and via Microsoft Teams Meeting. At this meeting, City staff will discuss the scope of work, general project requirements, and respond to questions from the attendees. It is strongly recommended that interested firms attend the pre-submittal meeting. Inquiries regarding the project scope outside of this pre-submittal meeting must be directed to the Contracts Specialist.

**Join on your computer or mobile app**

[Click here to join the meeting](#)

Join with a video conferencing device  
[cityofphoenix@m.webex.com](mailto:cityofphoenix@m.webex.com)

Video Conference ID: 119 558 988 6

### **SECTION III - STATEMENT OF QUALIFICATIONS EVALUATION CRITERIA**

Firms will be selected through a qualifications-based selection process based on the criteria below. Sub-criteria are listed in order of importance in relation to project services. City of Phoenix project experience is not required.

#### **A. Experience of the Prime Firm (250 points)**

Describe the experience and qualifications of your firm in providing these services for similar projects in the last three years. Provide at least two comparable projects. For each project listed, provide:

1. Description of the services including scope and project owner
2. Role of the firm and how it relates to the services being solicited
3. Contracted service dates and contract value

#### **B. Experience of Key Personnel (250 points)**

Describe the experience and qualifications of the key personnel expected to be assigned in providing these services for similar projects within the last three years. For each key person identified, list their length of time with the firm and at least two comparable projects in which they have played a primary role. If a project selected for a key person is the same as one selected for the firm, provide just the project name and the role of the key person. For each project listed, provide:

1. Description of the services including scope and project owner
2. Role of the key person
3. Description of knowledge and experience using current City of Phoenix codes, federal, and state requirements and regulations
4. Contracted service dates and contract value

#### **C. Project Management, Approach, and Responsiveness (350 points)**

Provide a description in more technical detail of at least two primary projects completed within the last three years. This project should demonstrate working knowledge and experience regarding the requested services above.

1. Describe the approach and methodology used, including any equipment or special software
2. Identify the specific level of work that was performed, services that were completed for the project, and the work products that were produced
3. Discuss any extraordinary aspects of the project/contract and how they were managed
4. Describe any supplemental tasks that were deemed necessary, recommended or provided (solicited or unsolicited), which enhanced outcome, reduced cost, or expedited delivery
5. If this project is different than projects provided in Item 1 above, provide owner name, and contracted services dates
6. Include a brief discussion of your approach to project issues such as troubleshooting, dispute resolution, submittal of reports, submittal of samples, and any other pertinent matters

#### **D. Staffing Information for Key Personnel (150 points)**

Provide the following:

1. Organization chart showing key personnel, current professional licenses and/or certifications

2. Team's availability and commitment to the project
3. Team's plan to maintain continuity of the proposed services
4. Identify the location of the lead firm's principal office and the home office location of key staff on this project

#### **SECTION IV - SUBMITTAL REQUIREMENTS**

**New Electronic Submittal Process:** Firms interested in this project must submit a Statement of Qualifications (SOQ) electronically through the ProcurePHX online portal.

Submittal requirements are as follows:

- Vendor Information:** All firms must be registered in the City's Vendor Management System prior to submitting a proposal. For new firms, the City will send an email to your firm with a vendor number within two business days of submitting the request. The vendor number should be included on the cover of the SOQ. Information on how to register with the City is available at:

<https://www.phoenix.gov/financesite/Pages/EProc-help.aspx>

**If your firm is already registered with the City of Phoenix's ProcurePHX system, please visit <https://eprocurement.phoenix.gov/irj/portal> to login and access the electronic solicitation.**

- The product category codes for this RFQ are 925000000 and 926000000 and the RFx number is 6000001295.**

- Submittals:**

- Submittals must be uploaded to the ProcurePhx website by the submittal due date and time.
- Submit only one SOQ electronically, in .PDF format only, addressing all evaluation criteria. No hard copies will be accepted.
- Clearly display the firm name, vendor number, project title, and project number on the cover of the SOQ.
- A maximum of **12 pages** is permitted to address all content in the SOQ submittal (**Maximum page limit includes evaluation criteria and all additional content. It does not include information sheet.**)
- Submit electronically the Statement of Qualifications by **12:00 noon, Phoenix time, on Friday, September 2, 2022.**
- Upload one complete version of the SOQ addressing all the criteria. Upload format is .PDF.
- Page size must meet requirements of 8½" x 11"
- Font size must not be less than 10 point
- Content count:
  - ✓ Each side of a page containing evaluation criteria and additional content will be counted toward the maximum page limit noted above.
  - ✓ Pages that have project photos, charts and/or graphs will be counted towards the maximum page limit noted above.
  - ✓ Front and back covers, information sheet, Table of Contents pages, and divider (tab) pages **will NOT** be counted toward the maximum page limit noted above, unless they include evaluation criteria and additional content that could be considered by the selection panel.

- Information Sheet:** Provide an information sheet that includes project title, project number, RFx number, firm name, address, phone number, vendor number, and the name, title, email address and signature of your contact person for the project. Do not include any additional information.

- Evaluation Criteria:** Address the SOQ evaluation criteria.

- Additional Content:** Resumes and other information may be included (*content shall be included within the permitted maximum page limit*).

**Note: All pages exceeding the specified maximum page limit will be removed from the submittal and not considered in evaluating a submitted SOQ.**

## **SECTION V – GROUNDS FOR DISQUALIFICATION**

The following **will be grounds for disqualification**, and will be strictly enforced:

- Failure to submit electronically through the ProcurePHX online portal by the due date and time.
- Violating the “Contact with City Employees” policy contained in this RFQ

## **SECTION VI - SELECTION PROCESS AND SCHEDULE**

Interested firms will submit a SOQ. The firms will be selected through a qualifications-based selection process. A selection panel will evaluate each SOQ per the criteria set forth in Section IV above.

The City will select firms based on the SOQs received; no formal interviews will be conducted. The City may conduct a due diligence review on the firms receiving the highest evaluation.

Up to 10 firms will be selected through a qualifications-based selection process. The City will enter into negotiations with the selected firms and execute a contract upon completion of negotiation of fees, contract terms, and City Council approval.

The following tentative schedule has been prepared for this project.

Pre-submittal meeting	August 22, 2022
SOQs due	September 2, 2022
Selection Notification	Late September

If the City is unsuccessful in negotiating a contract with the best-qualified firms, the City may then negotiate with the next most qualified firm until a contract is executed, or the City may decide to terminate the selection process. Once a contract is executed with the successful firm, the procurement is complete.

All submitting firms will be notified of selection outcome for this project. The status of a selection on this project will be posted on the City of Phoenix’s “Tabulations, Awards, and Recommendations” website:

<http://solicitations.phoenix.gov/awards>

The selected Consultant should expect to comply with the Arizona State Statutes Title 34 and City of Phoenix Design and Construction Procurement’s contract provisions.

## **SECTION VII – GENERAL INFORMATION**

**Citywide Capital Improvement Projects.** Consulting and contractor services supporting the City’s Capital Improvement Projects are procured under the authority of the City Engineer, currently located within the Street Transportation Department. Design and Construction Procurement coordinates the citywide consulting and construction contracting procurement processes.

**Changes to Request for Qualifications.** *Any changes to this Request for Qualifications (RFQ) will be in the form of a Notification.* The City of Phoenix shall not be held responsible for any oral instructions. Notifications are available on both the Current Opportunities and ProcurePHX webpage.

***It shall be the responsibility of the registered RFQ holder to determine, prior to the submittal of the Statement of Qualifications, if a Notification has been issued.*** Registered RFQ holders may refer to the web page or call the Contracts Specialist (listed below) to ascertain if a Notification has been issued for this project.

**Alternate Format.** For more information or a copy of this publication in an alternate format, contact the Contracts Specialist (listed below) - Voice or TTY 711. Requests will only be honored if made within the first week of the advertising period.

**Release of Project Information.** The City shall provide the release of all public information concerning the project, including selection announcements and contract awards. Those desiring to release information to the public must receive prior written approval from the City.

**City Rights.** The City of Phoenix reserves the right to reject any or all Statements of Qualifications, to waive any informality or irregularity in any Statement of Qualifications received, and to be the sole judge of the merits of the respective Statements of Qualifications received.

**Contact with City Employees.** This policy is intended to create a level playing field for all Proposers, assure that contracts are awarded in public, and protect the integrity of the selection process. **OFFERORS THAT VIOLATE THIS POLICY WILL BE DISQUALIFIED.**

Beginning on the date the RFQ is issued and until the date the contract is awarded or the RFQ withdrawn, all persons or entities that respond to the RFQ, including their authorized employees, agents, representatives, proposed partner(s), subcontractor(s), joint venture(s), member(s), or any of their lobbyists or attorneys (collectively the Proposer), will refrain from any direct or indirect contact with any person (other than the designated Contract Specialist) who may play a part in the selection process, including members of the evaluation panel, the City Manager, Assistant City Manager, Deputy City Managers, Department heads, the Mayor and other members of the Phoenix City Council. As long as the RFQ solicitation is not discussed, Proposers may continue to conduct business with the City and discuss business that is unrelated to this RFQ solicitation with City staff.

Commencing on the date and time a solicitation is published, potential or actual proposers (including their representatives) will only discuss matters associated with the solicitation with the Mayor, any members of City Council, the City Manager, any Deputy City Manager, or any department director directly associated with the solicitation (including in each case their assigned staff, except for the designated procurement officer) at a public meeting, posted under the Arizona Revised Statutes, until the resulting contract(s) are awarded or all offers or responses are rejected and the solicitation is cancelled without any announcement by the procurement officer of the City's intent to reissue the same or a similar solicitation.

Proposers may discuss their proposal or the RFQ solicitation with the Mayor or one or more members of the Phoenix City Council, provided such meetings are scheduled through the Contract Specialist (listed below), conducted in person at 200 W. Washington, Phoenix, Arizona 85003, and are posted as open meetings with the City Clerk at least twenty-four (24) hours prior to the scheduled meetings. The City Clerk will be responsible for posting the meetings. The posted notice shall identify the participants and the subject matter, as well as invite the public to participate.

**Conflict of Interest.** The City reserves the right to disqualify any Proposer on the basis of any real or apparent conflict of interest that is disclosed by the proposal submitted or any other data available to the City. This disqualification is at the sole discretion of the City. Any Proposer submitting a proposal herein waves any right to object now or at any future time, before any body or agency, including but not limited to, the City Council of the City of Phoenix or any court.

**Protest Procedures.** Firms responding to disqualification or a procurement outcome are referred to the Code of the City of Phoenix Chapter 2, Article XII, Section 2-187 to 2-190.4, which governs protest procedures utilized throughout the selection process. The procedures may be reviewed through the City of Phoenix website at:

<http://www.codepublishing.com/az/phoenix/>

A copy of the Protest Policy is also available online at:

<https://www.phoenix.gov/streets/procurement-opportunities>

**Questions** - Questions pertaining to this selection process or contract issues should be directed to the Contracts Specialist, Heather Roye at (602) 261-8894 or email [heather.roye@phoenix.gov](mailto:heather.roye@phoenix.gov).

## Commonly Asked Questions

- Q.** Can a company that is priming the Solicitation also be a sub to another team?
- A.** Yes.
- Q.** Are there hazardous materials surveys?
- A.** Hazardous materials are critical to NEPA; however, the HazMat focus is a lot lighter in reference to these contracts. Regulatory database searches will take place. Technical documents would be prepared according to ADOT's standards on preliminary initial site assessments. There will be a need for lead and asbestos testing, (e.g., concrete and paint). Having relationships with qualified labs will be necessary.
- Q.** When you use the term Green Infrastructure are you limiting it to stormwater (LID) or are you looking more at Natural Green Infrastructure - using the natural environment (vegetation, land forms, topography, washes) as a means to design/assess a project?
- A.** Depending on what the proposed improvement is, constructing something new may not make sense. Using natural topography may make more sense. This will be context sensitive and project specific – case by case basis – and will primarily consider where project is occurring.
- Q.** Will the use of subconsultants be permitted under this contract? If so, do we need to identify them at this time in response to the RFQ, or will subconsultants be added as-needed later on?
- A.** Subconsultant information is not required at time of submittal.