

**FINANCIAL UNDERWRITING SERVICES
REQUEST FOR QUALIFICATIONS (RFQu)
RFQu23-FINTD-002
Addendum 1 – Questions and Answers**



City of Phoenix

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The City received four questions regarding the RFQu for Financial Underwriting Services before the written submission September 25th, 2023 deadline.

- 1. Q.** Are foreign companies based outside the USA eligible to submit a proposal (like from India or Canada)?

A. All companies that can meet all the qualifying criteria, including licensing and MSRB requirements to be a broker-dealer in the US can apply.
- 2. Q.** If selected, does the City require Underwriters to be physically present for meetings?

A. If selected, the City host meeting virtually.
- 3. Q.** Can tasks (related to RFP) be performed outside of the USA (like from India or Canada)?

A. The City does not require any certain location to perform the tasks in the RFP. However, the proposer should consult with their legal advisers to ensure they are complying with the requirements to provide these services in the US. The City cannot speak to the legal requirements to perform these services.
- 4. Q.** Can proposals be submitted via email?

A. An electronic version of the proposal is required to be submitted via email.

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Proposer must acknowledge receipt and acceptance of this addendum by returning the entire addendum with the proposal submittal.

Name of Company: _____

Address: _____

Authorized Signature: _____

Print Name and Title: _____