

ADDENDUM 1

(Please sign and return with the submittal)

I. Solicitation Modifications.

- A. The NTD report for Fiscal Year (FY) 2022 is now added as Exhibit 1 of the solicitation as a sample for reference.
- B. The Independent Auditor Statement for Financial Data (IAS – FD) report for 2018 is now added as Exhibit 2 of the solicitation as a sample for reference.
- C. Section 3.3, “General Requirements,” is revised and replaced with the following:

3.3. GENERAL REQUIREMENTS

Contractor shall provide the following documents to the City in accordance with Section 3.5 below:

3.3.1 Independent Auditor Statement for Federal Funding Allocation Data (IAS - FFA): A letter signed by an independent public accountant or other independent entity (such as a state audit agency) stating that it has conducted a review of the data used in the FTA Urbanized Formula Program (UAF) and FTA Capital Program for Fixed Guideway Modernization funding allocations. This letter is required for transit agencies with 100 or more vehicles operated in annual maximum service (VOMS) across all modes and types of service (TOS) and serving urbanized areas (UZA) with 200,000 or more population.

3.3.2 Independent Auditor Statement for Financial Data (IAS – FD): A letter signed by an independent public accountant or other independent entity (such as a state audit agency) stating that (a) it has conducted a review of all NTD financial forms to ensure that a transit agency’s accounting system follows the Uniform System of Accounts (USOA), (b) accrual accounting or a directly translatable method is used, and (c) all data are in accordance with NTD requirements.

- D. Section 3.5, “Performance Schedule,” is revised and replaced with the following:

3.5. PERFORMANCE SCHEDULE

Annual Reporting will be conducted according to the schedule below.

| Critical Deadline Date | Actions and Reports Due |
|------------------------|-------------------------|
| June 30 | Fiscal Year End |

| | |
|--------------|--|
| September 15 | City to provide Contractor all necessary and relevant NTD data for review no later than September 15 th of each year for the term of this agreement. Before commencing with any work, Contractor must receive a Purchase Order issued by the City which will serve as the contractor's notice to proceed. |
| October 31 | Contractor will issue the completed IAS-FFA to the City by October 31st each year of the contract term. |
| March 15 | Report/Deliverables Closeout |

Ten-Year Reporting will be conducted in the schedule detailed below.

| Critical Deadline Date | Actions and Reports Due |
|-------------------------------|---|
| Fiscal Year 2028 | Contractor will issue the completed IAS-FD to the City in Fiscal Year 2028 |

3.5.1 To facilitate the City's preparation of a Purchase Order, the Contractor must submit its NTD procedures for the work, estimated hours and total pricing for the yearly services based on Contractor's fixed hourly rates, which may not exceed the yearly estimate, and disclaimers for the resulting deliverables.

3.5.2 Contractor must receive a Purchase Order issued by the City, which will serve as: approval of the NTD procedures for the work (agreed-upon procedures), total pricing, and disclaimers for the resulting deliverables; and the contractor's notice to proceed. The parties will not enter a separate letter of engagement or statement of work for these services.

- II. **Written Inquiries.** In response to Offerors' written inquiries in accordance with the Solicitation's **Section 2.8** ("Inquiries"), the City of Phoenix ("**City**") provides answers to those inquiries below.

QUESTIONS AND ANSWERS:

Note: Spelling, grammar, and punctuation of the questions are shown exactly as submitted by the potential respondents.

| No. | Question | City's Response |
|------------|---|--|
| 1. | Can you confirm which modes are included in this scope? | The City confirms that the scope includes modes currently used by the City, which are MBPT (Motor Bus Purchased Transportation) and DRPT (Demand Response Purchased Transportation). The |

| | | |
|----|--|--|
| | | Scope also includes possible future mode additions, such as Bus Rapid Transit and Microtransit. |
| 2. | How many purchased transportation providers does the City utilize to provide the transit services? | The City utilizes three transit providers to provide transit services. TransDev, First Transit (now acquired by TransDev), and MV Transportation. |
| 3. | Does the scope include just NTD ID 90032 (City of Phoenix Public Transit Department), or does it include 90136 (Regional Public Transportation Authority), 90209 (Valley Metro Rail), or 90169 (vRide, Inc.)? | The scope only includes NTD ID 90032 (City of Phoenix). |
| 4. | Can you provide the FY22 report? | See above Section I (Solicitation Modifications), Subsection A, which includes the FY 22 Report as an exhibit for the solicitation. |
| 5. | Section 3.3 of the RFP includes the IAS-FFA, which is an annual report, but also an IAS-FD. The IAS-FD is typically only required once every 10 years, or if the transit agency changes their accounting system. Can you confirm that you also need the IAS-FD? If so, can you please provide the most recent IAS-FD report? | See above Section I (Solicitation Modifications), Subsections C & D, which corrects the requirements for the IAS-FD report, which must be completed only in fiscal year 2028. See also Section I, Subsection B, which includes the most recent IAS-FD Auditor Statement (Report) as an exhibit for the solicitation. |
| 6. | What were the fees for the FY22 report(s)? | The fees for the FY22 report amounted to \$17,800. |

The balance of the specifications and instructions remain the same. Offeror must acknowledge receipt and acceptance of this addendum by signing below and returning the entire addendum with the bid or proposal submittal.

Name of Company: _____

Address: _____

Authorized Signature: _____

Print Name and Title: _____

| | |
|----------------------|---|
| NTD ID | 90032 |
| Reporter Name | City of Phoenix Public Transit Department |
| Report | 2022 (Revision: 6) |

CEO Certification (D-10)

Overall Accuracy of the NTD Annual Report

The financial and non-financial operating data in this submission are accurate and truthful records of the financial transactions and operations of City of Phoenix Public Transit Department .

Is the statement above accurate and truthful? (Y/N)

Y

Conformance to FTA NTD Manuals & USOA

The financial and non-financial operating data in this submission conform in all material respects with the accounting and definitional requirements of the Federal Transit Administration's (FTA) National Transit Database (NTD) Reporting manuals and Uniform System of Accounts (USOA).

Is the statement above accurate and truthful? (Y/N)

Y

Federal Funding Allocation Data

The following data elements are used in the apportionment of Federal funds for the Urbanized Area Formula Program, the State of Good Repair Program, and the Bus and Bus Facilities Program: fixed guideway directional route miles, high intensity bus directional route miles, passenger miles traveled (for Full Reporters), actual vehicle revenue hours, actual vehicle revenue miles, operating costs, and unlinked passenger trips. Please verify the following statements regarding these data submissions:

- (A) A system is in place for recording these data in accordance with FTA definitions. This system records complete data without systematic errors in data definitions or in comprehensive coverage.
- (B) Data gathering is an ongoing effort and is collected on a continuing basis throughout the year.
- (C) Source documents are available to support the reported data and will be maintained for at least three years. The source documents are reviewed and signed by a supervisor, as required.
- (D) A system of internal controls is in place to ensure the accuracy of the data collected and reported to the NTD. Documents are reviewed and signed by a supervisor, as required.
- (E) Data collection methods for these data are those suggested by FTA or equivalent.
- (F) FTA standards for precision and accuracy in these data have been satisfied. The sampling technique for passenger miles traveled has either been approved by FTA, or has been determined to conform to FTA requirements by a qualified statistician. The approved sampling technique for passenger miles traveled was followed as documented (for Full Reporters).
- (G) These data are consistent with prior reporting periods, and other facts known about transit agency operations.

| Mode | Do (a)-(g) apply? (Y/N) |
|-------------|--------------------------------|
| DR PT | Y |
| MB PT | Y |

Financial Data Review

City of Phoenix Public Transit Department has FTA approval of an Independent Financial System Review for a prior report year and is not submitting a new statement for the current report year.

Is the statement above accurate and truthful? (Y/N)

Y

This NTD report is derived from an accounting system that follows the prescribed Uniform System of Accounts (USOA). An NTD report was previously submitted and approved by FTA for fiscal year ending 6/30/2018, which contained an independent reviewer's NTD financial data statement signed by BKD LLP, dated 10/29/2018.

Federal Funding Allocation Review

A review of the Federal funding allocation data for the 2022 report year has been completed and is on file at our transit agency for FTA's Triennial Review.

Is the statement above accurate and truthful? (Y/N)

Y

Completed By

CliftonLarsonAllen LLP

Completion Date

3/21/2023

Passenger Mile Data

| Mode | Used APC Data (Y/N) | Current Method | Previous Method |
|----------|---------------------|---|-----------------|
| MB PT | N | Alternative sampling procedure determined to meet 95% confidence and $\pm 10\%$ precision levels by a qualified statistician (estimated data) | |
| MB PT | N | Alternative sampling procedure determined to meet 95% confidence and $\pm 10\%$ precision levels by a qualified statistician (estimated data) | |
| DR PT | N | 100% count of passenger mile data (actual data) | |

Unlinked Passenger Trip Data

| Mode | Used APC Data (Y/N) | Current Method | Previous Method |
|----------|---------------------|---|-----------------|
| MB PT | N | Alternative sampling procedure determined to meet 95% confidence and $\pm 10\%$ precision levels by a qualified statistician (estimated data) | |
| MB PT | N | Alternative sampling procedure determined to meet 95% confidence and $\pm 10\%$ precision levels by a qualified statistician (estimated data) | |
| DR PT | N | 100% count of unlinked passenger trips (UPT) data (actual data) | |

| | |
|---------------|---|
| NTD ID | 90032 |
| Reporter Name | City of Phoenix Public Transit Department |
| Report | 2022 (Revision: 6) |

Contractual Relationship (B-30) - First Transit, Inc.

Contract Summary

| | |
|------------------------|--|
| Contractual Position | The Reporter is the Buyer |
| Type of Contract | Competitively-Bid Contract |
| Primary Feature | The Buyer Pays the Seller a Negotiated Fixed Rate Per Unit of Service |
| Public Assets Provided | Buyer Provides Vehicles to Seller; Buyer Provides Maintenance Facility to Seller |
| Service Captured | In This Report |
| Fares Retained By | Buyer |
| Other Party | |

Key Financial and Operation Statistics

| Mode | Type Of Service | Vehicles/Passenger Cars Operated in Annual Maximum Service Under Contract | Months Operated | Purchased Transportation Fare Revenue (5111) | Direct Payment (5112) | Passenger Fees (5113) | Passenger Out-of-Pocket Expenses (5114) | Total Fares | Agency Subsidy (5115) | Capital Leasing (5120) | Contractor Operating Expenses | Contract Cost (5110) | Other Operating Expenses Incurred by the Buyer (5131) | Other Reconciling Item Expenses Incurred by the Buyer (5132) | Operating Expenses |
|------|-----------------|---|-----------------|--|-----------------------|-----------------------|---|-------------|-----------------------|------------------------|-------------------------------|----------------------|---|--|--------------------|
| MB | PT | 134 | 12 | \$3,523,220 | \$40,059,187 | N/A | N/A | \$3,523,220 | N/A | \$0 | \$40,059,187 | \$40,059,187 | \$17,040,610 | \$0 | \$57,099,797 |

| | |
|---------------|---|
| NTD ID | 90032 |
| Reporter Name | City of Phoenix Public Transit Department |
| Report | 2022 (Revision: 6) |

Contractual Relationship (B-30) - MV Transportation

Contract Summary

| | |
|------------------------|---|
| Contractual Position | The Reporter is the Buyer |
| Type of Contract | Competitively-Bid Contract |
| Primary Feature | The Buyer Pays the Seller a Negotiated Fixed Rate Per Unit of Service |
| Public Assets Provided | Buyer Provides Vehicles to Seller |
| Service Captured | In This Report |
| Fares Retained By | Buyer |
| Other Party | |

Key Financial and Operation Statistics

| Mode | Type Of Service | Vehicles/Passenger Cars Operated in Annual Maximum Service Under Contract | Months Operated | Purchased Transportation Fare Revenue (5111) | Direct Payment (5112) | Passenger Fees (5113) | Passenger Out-of-Pocket Expenses (5114) | Total Fares | Agency Subsidy (5115) | Capital Leasing (5120) | Contractor Operating Expenses | Contract Cost (5110) | Other Operating Expenses Incurred by the Buyer (5131) | Other Reconciling Item Expenses Incurred by the Buyer (5132) | Operating Expenses | |
|------|-----------------|---|-----------------|--|-----------------------|-----------------------|---|-------------|-----------------------|------------------------|-------------------------------|----------------------|---|--|--------------------|--------------|
| DR | PT | | 119 | 12 | \$502,968 | \$16,026,998 | N/A | N/A | \$502,968 | N/A | \$247,920 | \$15,779,078 | \$16,026,998 | \$4,308,428 | \$0 | \$20,087,506 |

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|---------------|---|
| NTD ID | 90032 |
| Reporter Name | City of Phoenix Public Transit Department |
| Report | 2022 (Revision: 6) |

Contractual Relationship (B-30) - TransDev

Contract Summary

| | |
|------------------------|--|
| Contractual Position | The Reporter is the Buyer |
| Type of Contract | Competitively-Bid Contract |
| Primary Feature | The Buyer Pays the Seller a Negotiated Fixed Rate Per Unit of Service |
| Public Assets Provided | Buyer Provides Vehicles to Seller; Buyer Provides Maintenance Facility to Seller |
| Service Captured | In This Report |
| Fares Retained By | Buyer |
| Other Party | |

Key Financial and Operation Statistics

| Mode | Type Of Service | Vehicles/Passenger Cars Operated in Annual Maximum Service Under Contract | Months Operated | Purchased Transportation Fare Revenue (5111) | Direct Payment (5112) | Passenger Fees (5113) | Passenger Out-of-Pocket Expenses (5114) | Total Fares | Agency Subsidy (5115) | Capital Leasing (5120) | Contractor Operating Expenses | Contract Cost (5110) | Other Operating Expenses Incurred by the Buyer (5131) | Other Reconciling Item Expenses Incurred by the Buyer (5132) | Operating Expenses |
|------|-----------------|---|-----------------|--|-----------------------|-----------------------|---|-------------|-----------------------|------------------------|-------------------------------|----------------------|---|--|--------------------|
| MB | PT | 254 | 12 | \$8,139,425 | \$92,814,426 | N/A | N/A | \$8,139,425 | N/A | \$0 | \$92,814,426 | \$92,814,426 | \$39,684,877 | \$0 | \$132,499,303 |

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|---------------|---|
| NTD ID | 90032 |
| Reporter Name | City of Phoenix Public Transit Department |
| Report | 2022 (Revision: 6) |

Identification (B-10)

General Information

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|--|
| Organization Type: City, County or Local Government Unit or Department of Transportation |
|--|

Demographic Information

| | |
|-----------------------------|---------------------------|
| Primary UZA | Service Area Square Miles |
| 12 - Phoenix-Mesa, AZ | 520 |
| Secondary UZA / Non-UZA(s) | Service Area Population |
| 181 - Avondale-Goodyear, AZ | 2,034,618 |

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|---------------|---|
| NTD ID | 90032 |
| Reporter Name | City of Phoenix Public Transit Department |
| Report | 2022 (Revision: 6) |

Maintenance Performance (R-20)

Revenue Vehicle Mechanical System Failures

| Mode/Service | Major Failures | Other Failures | Total Failures |
|--------------|----------------|----------------|----------------|
| DR PT | 124 | 235 | 359 |
| MB PT | 1,425 | 97 | 1,522 |

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|----------------------|---|
| NTD ID | 90032 |
| Reporter Name | City of Phoenix Public Transit Department |
| Report | 2022 (Revision: 6) |

Operating Expenses (F-30) - MB PT

Operating Expenses

| | Vehicle Operations (VO) | Vehicle Maintenance (VM) | Facility Maintenance (FM) | General Administration (GA) | Total |
|---|------------------------------------|---|--------------------------------------|--|----------------------|
| Labor (5010) | | | | | |
| Operators' Salaries and Wages (5011) | \$0 | \$0 | \$0 | \$0 | \$0 |
| Operators' Paid Absences (5012) | \$0 | \$0 | \$0 | \$0 | \$0 |
| Other Salaries and Wages (5013) | \$0 | \$0 | \$0 | \$5,362,079 | \$5,362,079 |
| Other Paid Absences (5014) | \$0 | \$0 | \$0 | \$820,142 | \$820,142 |
| Fringe Benefits (5015) | \$0 | \$0 | \$0 | \$5,631,597 | \$5,631,597 |
| Services (5020) | \$18,897,974 | \$37,175 | \$6,464,553 | \$5,518,915 | \$30,918,617 |
| Materials and Supplies (5030) | | | | | |
| Fuels and Lubricants (5031) | \$12,316,247 | \$12,882 | N/A | N/A | \$12,329,129 |
| Tires and Tubes (5032) | \$0 | \$0 | N/A | N/A | \$0 |
| Other Materials and Supplies (5039) | \$0 | \$0 | \$0 | \$170,309 | \$170,309 |
| Utilities (5040) | \$0 | N/A | N/A | \$991,814 | \$991,814 |
| Casualty and Liability Costs (5050) | N/A | N/A | N/A | \$447,690 | \$447,690 |
| Taxes (5060) | \$0 | \$0 | \$0 | \$25,771 | \$25,771 |
| Purchased Transportation (5100) | | | | | |
| Purchased Transportation In Report (5101) | \$95,496,424 | \$24,566,737 | \$1,735,142 | \$11,075,310 | \$132,873,613 |
| Purchased Transportation Filing Separate Report (5102) | N/A | N/A | N/A | N/A | N/A |
| Miscellaneous Expenses (5090) | \$0 | \$0 | \$0 | \$28,339 | \$28,339 |
| Total | \$126,710,645 | \$24,616,794 | \$8,199,695 | \$30,071,966 | \$189,599,100 |

| | |
|----------------------|---|
| NTD ID | 90032 |
| Reporter Name | City of Phoenix Public Transit Department |
| Report | 2022 (Revision: 6) |

Operating Expenses Summary (F-40)

Total Operating Expenses (F-30)

| | Vehicle Operations (VO) | Vehicle Maintenance (VM) | Facility Maintenance (FM) | General Administration (GA) | Total |
|---|----------------------------|--------------------------------|------------------------------|-----------------------------------|----------------------|
| Labor (5010) | | | | | |
| Operators' Salaries and Wages (5011) | \$0 | \$0 | \$0 | \$0 | \$0 |
| Operators' Paid Absences (5012) | \$0 | \$0 | \$0 | \$0 | \$0 |
| Other Salaries and Wages (5013) | \$0 | \$0 | \$0 | \$6,008,844 | \$6,008,844 |
| Other Paid Absences (5014) | \$0 | \$0 | \$0 | \$919,066 | \$919,066 |
| Fringe Benefits (5015) | \$0 | \$0 | \$0 | \$6,310,871 | \$6,310,871 |
| Services (5020) | \$19,058,357 | \$41,659 | \$6,472,592 | \$6,189,026 | \$31,761,634 |
| Materials and Supplies (5030) | | | | | |
| Fuels and Lubricants (5031) | \$14,155,995 | \$12,882 | | | \$14,168,877 |
| Tires and Tubes (5032) | \$0 | \$0 | | | \$0 |
| Other Materials and Supplies (5039) | \$0 | \$0 | \$0 | \$190,851 | \$190,851 |
| Utilities (5040) | \$0 | | | \$1,111,446 | \$1,111,446 |
| Casualty and Liability Costs (5050) | | | | \$501,690 | \$501,690 |
| Taxes (5060) | \$0 | \$0 | \$0 | \$28,879 | \$28,879 |
| Purchased Transportation (5100) | | | | | |
| Purchased Transportation In Report (5101) | \$105,066,939 | \$25,885,246 | \$1,796,096 | \$15,904,410 | \$148,652,691 |
| Purchased Transportation Filing Separate Report (5102) | \$0 | \$0 | \$0 | \$0 | \$0 |
| Miscellaneous Expenses (5090) | \$0 | \$0 | \$0 | \$31,757 | \$31,757 |
| Total | \$138,281,291 | \$25,939,787 | \$8,268,688 | \$37,196,840 | \$209,686,606 |
| ADA Expenses (5910) | | | | | \$19,477,944 |

| | Funds Applied | Funds Not Applied | Total Expenses For Period |
|--|---------------|-------------------|---------------------------|
| Interest Expenses (5210) | \$0 | \$0 | \$0 |
| Operating Lease Expenses (5220) | \$247,920 | \$0 | \$247,920 |

| | Funds Applied | Funds Not Applied | Total Expenses For Period |
|---|----------------------|--------------------------|----------------------------------|
| Capital Leases (5230) | \$0 | \$0 | \$0 |
| Related Parties Lease Agreements (5240) | \$0 | \$0 | \$0 |
| Voluntary Non-Exchange Transactions (5250) | \$0 | \$0 | \$0 |
| Depreciation (5260) | \$0 | \$38,091,724 | \$38,091,724 |
| Amortization of Intangibles (5270) | \$0 | \$0 | \$0 |
| Extraordinary and Special Items (5280) | \$0 | \$0 | \$0 |
| Other Reconciling Items (5290) | \$2,236,334 | \$0 | \$2,236,334 |
| Total Reconciling Items | \$2,484,254 | \$38,091,724 | \$40,575,978 |
| Americans with Disabilities Act of 1990 (ADA)-Related Reconciling Items (DR Only) (5920) | \$0 | \$0 | \$0 |
| Total Expenses from Published Reports for Transit Operations | \$212,170,860 | \$38,091,724 | \$250,262,584 |

| | |
|----------------------|---|
| NTD ID | 90032 |
| Reporter Name | City of Phoenix Public Transit Department |
| Report | 2022 (Revision: 6) |

Operating Expenses (F-30) - DR PT

Operating Expenses

| | Vehicle Operations (VO) | Vehicle Maintenance (VM) | Facility Maintenance (FM) | General Administration (GA) | Total |
|---|----------------------------|--------------------------------|------------------------------|-----------------------------------|---------------------|
| Labor (5010) | | | | | |
| Operators' Salaries and Wages (5011) | \$0 | \$0 | \$0 | \$0 | \$0 |
| Operators' Paid Absences (5012) | \$0 | \$0 | \$0 | \$0 | \$0 |
| Other Salaries and Wages (5013) | \$0 | \$0 | \$0 | \$646,765 | \$646,765 |
| Other Paid Absences (5014) | \$0 | \$0 | \$0 | \$98,924 | \$98,924 |
| Fringe Benefits (5015) | \$0 | \$0 | \$0 | \$679,274 | \$679,274 |
| Services (5020) | \$160,383 | \$4,484 | \$8,039 | \$670,111 | \$843,017 |
| Materials and Supplies (5030) | | | | | |
| Fuels and Lubricants (5031) | \$1,839,748 | \$0 | N/A | N/A | \$1,839,748 |
| Tires and Tubes (5032) | \$0 | \$0 | N/A | N/A | \$0 |
| Other Materials and Supplies (5039) | \$0 | \$0 | \$0 | \$20,542 | \$20,542 |
| Utilities (5040) | \$0 | N/A | N/A | \$119,632 | \$119,632 |
| Casualty and Liability Costs (5050) | N/A | N/A | N/A | \$54,000 | \$54,000 |
| Taxes (5060) | \$0 | \$0 | \$0 | \$3,108 | \$3,108 |
| Purchased Transportation (5100) | | | | | |
| Purchased Transportation In Report (5101) | \$9,570,515 | \$1,318,509 | \$60,954 | \$4,829,100 | \$15,779,078 |
| Purchased Transportation Filing Separate Report (5102) | N/A | N/A | N/A | N/A | N/A |
| Miscellaneous Expenses (5090) | \$0 | \$0 | \$0 | \$3,418 | \$3,418 |
| Total | \$11,570,646 | \$1,322,993 | \$68,993 | \$7,124,874 | \$20,087,506 |
| ADA Expenses (5910) | | | | | \$19,477,940 |

| | |
|----------------------|---|
| NTD ID | 90032 |
| Reporter Name | City of Phoenix Public Transit Department |
| Report | 2022 (Revision: 6) |

Revenue Vehicle Inventory (A-30) - MB PT

Fleets

| RVI ID | Agency Fleet Id | Vehicle Type | Total Vehicles | Active Vehicles | Dedicated Fleet | No Capital Replacement Responsibility | Automated or Autonomous Vehicles | Manufacturer | Describe Other Manufacturer | Model | Year Manufactured | Year Rebuilt | Fuel Type | Other Fuel Type | Dual Fuel Type | Vehicle Length | Seating Capacity | Sts |
|--------------|-----------------|----------------------|----------------|-----------------|-----------------|---------------------------------------|----------------------------------|------------------------------|-----------------------------|----------|-------------------|--------------|------------------------|-----------------|----------------|----------------|------------------|-----|
| 61003 | 5001-5120 | Bus (BU) | 113 | 113 | Yes | | | NFA - New Flyer of America | | C40LFR | 2013 | | Compressed Natural Gas | | | 40 | 36 | |
| 61049 | 1506-1511 | Cutaway (CU) | 3 | 3 | Yes | | | FRD - Ford Motor Corporation | | E450 | 2013 | | Gasoline | | | 26 | 15 | |
| 344982 | 5121-5200 | Bus (BU) | 76 | 76 | Yes | | | GIL - Gillig Corporation | | 40LFW | 2015 | | Compressed Natural Gas | | | 40 | 36 | |
| 344983 | 1512-1515 | Cutaway (CU) | 4 | 4 | Yes | | | STR - Starcraft | | ALLSTAR | 2016 | | Gasoline | | | 25 | 19 | |
| 350726 | 3003-5, 1516-18 | Cutaway (CU) | 6 | 6 | Yes | | | STR - Starcraft | | Starlite | 2016 | | Gasoline | | | 26 | 15 | |
| 350727 | 7165-7174 | Articulated Bus (AB) | 10 | 10 | Yes | | | NFA - New Flyer of America | | XN60 | 2017 | | Compressed Natural Gas | | | 60 | 55 | |
| 350728 | 8082-8101 | Articulated Bus (AB) | 20 | 20 | Yes | | | NFA - New Flyer of America | | XD60 | 2016 | | Diesel Fuel | | | 60 | 55 | |
| 350729 | 5201-5240 | Bus (BU) | 40 | 40 | Yes | | | GIL - Gillig Corporation | | G27D102 | 2017 | | Compressed Natural Gas | | | 40 | 36 | |
| 356506 | 7300-7309 | Bus (BU) | 10 | 10 | Yes | | | NFA - New Flyer of America | | XN40 | 2017 | | Compressed Natural Gas | | | 40 | 32 | |
| 356507 | 8102-8121 | Articulated Bus (AB) | 17 | 17 | Yes | | | NFA - New Flyer of America | | XD60 | 2017 | | Diesel Fuel | | | 60 | 54 | |
| 356512 | 5241-5274 | Bus (BU) | 34 | 33 | Yes | | | GIL - Gillig Corporation | | G31D | 2018 | | Compressed Natural Gas | | | 40 | 35 | |
| 356514 | 5600-5611 | Bus (BU) | 12 | 11 | Yes | | | GIL - Gillig Corporation | | C40LF | 2018 | | Compressed Natural Gas | | | 40 | 35 | |
| 375849 | 5612-5644 | Bus (BU) | 31 | 31 | Yes | | | GIL - Gillig Corporation | | C40LF | 2019 | | Compressed Natural Gas | | | 40 | 36 | |
| 375850 | 7175-7185 | Articulated Bus (AB) | 11 | 11 | Yes | | | NFA - New Flyer of America | | XN60 | 2018 | | Compressed Natural Gas | | | 60 | 55 | |
| 375851 | 7310-7323 | Bus (BU) | 14 | 14 | Yes | | | NFA - New Flyer of America | | XN40 | 2018 | | Compressed Natural Gas | | | 40 | 36 | |
| 382700 | 8122-8127 | Articulated Bus (AB) | 5 | 5 | Yes | | | NFA - New Flyer of America | | XD60 | 2019 | | Diesel Fuel | | | 60 | 54 | |
| 390898 | 7186-7192 | Articulated Bus (AB) | 7 | 7 | Yes | | | NFA - New Flyer of America | | XD60 | 2020 | | Compressed Natural Gas | | | 60 | 52 | |
| 390899 | 5645-5661 | Bus (BU) | 17 | 17 | Yes | | | NFA - New Flyer of America | | D40LF | 2020 | | Diesel Fuel | | | 40 | 35 | |
| 390900 | 3006-3008 | Cutaway (CU) | 3 | 3 | Yes | | | STR - Starcraft | | E450 | 2020 | | Gasoline | | | 30 | 19 | |
| 390901 | 7324-7328 | Bus (BU) | 5 | 5 | Yes | | | NFA - New Flyer of America | | XD40 | 2020 | | Compressed Natural Gas | | | 40 | 32 | |
| 390902 | 5662-5691 | Bus (BU) | 30 | 30 | Yes | | | NFA - New Flyer of America | | XD40 | 2021 | | Diesel Fuel | | | 40 | 36 | |
| 390903 | 5275-5278 | Bus (BU) | 4 | 4 | Yes | | | NFA - New Flyer of America | | XN40 | 2020 | | Compressed Natural Gas | | | 40 | 36 | |
| Total | | | 502 | 499 | | | | | | | | | | | | | | |

| RVI ID | Agency Fleet Id | Vehicle Type | Total Vehicles | Active Vehicles | Dedicated Fleet | No Capital Replacement Responsibility | Automated or Autonomous Vehicles | Manufacturer | Describe Other Manufacturer | Model | Year Manufactured | Year Rebuilt | Fuel Type | Other Fuel Type | Dual Fuel Type | Vehicle Length | Seating Capacity | Size |
|--------|-----------------|----------------------|----------------|-----------------|-----------------|---------------------------------------|----------------------------------|------------------------------|-----------------------------|-------|-------------------|--------------|-------------|-----------------|----------------|----------------|------------------|------|
| 390904 | 8128-8155 | Articulated Bus (AB) | 28 | 28 | Yes | | | NFA - New Flyer of America | | XD60 | 2020 | | Diesel Fuel | | | 60 | 54 | |
| 396053 | 3010-3111 | Cutaway (CU) | 2 | 1 | Yes | | | FRD - Ford Motor Corporation | | E350 | 2021 | | Gasoline | | | 26 | 15 | |
| Total | | | 502 | 499 | | | | | | | | | | | | | | |

Energy Consumption

| Energy Type | Amount | Unit |
|------------------------|-----------|---------|
| Bio-Diesel | | Gallons |
| Compressed Natural Gas | 4,547,847 | Gallons |
| Diesel Fuel | 1,744,393 | Gallons |
| Gasoline | 98,678 | Gallons |

| | |
|---------------|---|
| NTD ID | 90032 |
| Reporter Name | City of Phoenix Public Transit Department |
| Report | 2022 (Revision: 6) |

Revenue Vehicle Inventory (A-30) - DR PT

Fleets

| RVI ID | Agency Fleet Id | Vehicle Type | Total Vehicles | Active Vehicles | Dedicated Fleet | No Capital Replacement Responsibility | Automated or Autonomous Vehicles | Manufacturer | Describe Other Manufacturer | Model | Year Manufactured | Year Rebuilt | Fuel Type | Other Fuel Type | Dual Fuel Type | Vehicle Length | Seating Capacity | Standing Capacity | C |
|--------|-----------------|--------------|----------------|-----------------|-----------------|---------------------------------------|----------------------------------|------------------------------|-----------------------------|----------|-------------------|--------------|-----------|-----------------|----------------|----------------|------------------|-------------------|---|
| 344896 | 3545-3564 | Cutaway (CU) | 17 | 11 | Yes | | | STR - Starcraft | | Starlite | 2016 | | Gasoline | | | 21 | 7 | 0 | C |
| 350645 | 3565-3586 | Cutaway (CU) | 25 | 21 | Yes | | | STR - Starcraft | | Starlite | 2016 | | Gasoline | | | 21 | 7 | 0 | C |
| 356516 | 3587-3611 | Cutaway (CU) | 25 | 23 | Yes | | | STR - Starcraft | | Starlite | 2018 | | Gasoline | | | 19 | 7 | 0 | C |
| 375795 | 3612-3636 | Cutaway (CU) | 24 | 23 | Yes | | | STR - Starcraft | | Starlite | 2019 | | Gasoline | | | 26 | 12 | 10 | C |
| 382375 | 3637-3661 | Cutaway (CU) | 25 | 19 | Yes | | | STR - Starcraft | | Starlite | 2020 | | Gasoline | | | 19 | 7 | 0 | C |
| 396193 | 3662-3685 | Cutaway (CU) | 25 | 19 | Yes | | | FRD - Ford Motor Corporation | | E-350 | 2022 | | Gasoline | | | 21 | 7 | 15 | C |
| Total | | | 141 | 116 | | | | | | | | | | | | | | | |

Energy Consumption

| Energy Type | Amount | Unit |
|-------------|---------|---------|
| Gasoline | 579,603 | Gallons |

| | |
|----------------------|---|
| NTD ID | 90032 |
| Reporter Name | City of Phoenix Public Transit Department |
| Report | 2022 (Revision: 6) |

Ridership Activity (MR-20) - MB PT

Ridership Activity

| Month | Unlinked Passenger Trips (UPT) | Vehicle Revenue Miles (VRM) | Vehicle Revenue Hours (VRH) | Vehicles Operated in Maximum Service (VOMS) | First Submission Date | Last Submission Date | Submitted By | Open Issues | Open Issues With Explanation | Status |
|-------------------------|--------------------------------|-----------------------------|-----------------------------|---|-----------------------|----------------------|------------------|-------------|------------------------------|----------|
| July - 2021 | 1,368,446 | 1,705,549 | 129,398 | 388 | 8/31/2021 | 5/24/2023 | Stephanie Rangel | 0 | 0 | Accepted |
| August - 2021 | 1,439,187 | 1,718,473 | 130,093 | 388 | 9/30/2021 | 5/24/2023 | Stephanie Rangel | 0 | 0 | Accepted |
| September - 2021 | 1,487,640 | 1,647,143 | 124,763 | 388 | 10/27/2021 | 5/24/2023 | Stephanie Rangel | 0 | 0 | Accepted |
| October - 2021 | 1,783,282 | 1,678,685 | 126,453 | 388 | 11/29/2021 | 5/24/2023 | Stephanie Rangel | 0 | 0 | Accepted |
| November - 2021 | 1,801,901 | 1,648,345 | 133,862 | 388 | 12/30/2021 | 5/24/2023 | Stephanie Rangel | 0 | 0 | Accepted |
| December - 2021 | 1,665,218 | 1,673,518 | 136,370 | 388 | 1/28/2022 | 5/24/2023 | Stephanie Rangel | 0 | 0 | Accepted |
| January - 2022 | 1,633,301 | 1,625,735 | 135,362 | 388 | 2/28/2022 | 5/24/2023 | Stephanie Rangel | 0 | 0 | Accepted |
| February - 2022 | 1,594,210 | 1,529,518 | 123,345 | 388 | 3/31/2022 | 5/24/2023 | Stephanie Rangel | 0 | 0 | Accepted |
| March - 2022 | 1,808,161 | 1,707,208 | 140,452 | 388 | 4/28/2022 | 5/24/2023 | Stephanie Rangel | 0 | 0 | Accepted |
| April - 2022 | 1,763,577 | 1,641,876 | 134,666 | 388 | 5/26/2022 | 5/24/2023 | Stephanie Rangel | 0 | 0 | Accepted |
| May - 2022 | 1,750,822 | 1,669,465 | 136,008 | 388 | 6/30/2022 | 5/24/2023 | Stephanie Rangel | 0 | 0 | Accepted |
| June - 2022 | 1,621,648 | 1,636,688 | 135,650 | 388 | 7/29/2022 | 5/24/2023 | Stephanie Rangel | 0 | 0 | Accepted |
| | 19,717,393 | 19,882,203 | 1,586,422 | 388 | | | | | | |

| | |
|----------------------|---|
| NTD ID | 90032 |
| Reporter Name | City of Phoenix Public Transit Department |
| Report | 2022 (Revision: 6) |

Ridership Activity (MR-20) - DR PT

Ridership Activity

| Month | Unlinked Passenger Trips (UPT) | Vehicle Revenue Miles (VRM) | Vehicle Revenue Hours (VRH) | Vehicles Operated in Maximum Service (VOMS) | First Submission Date | Last Submission Date | Submitted By | Open Issues | Open Issues With Explanation | Status |
|-------------------------|--------------------------------|-----------------------------|-----------------------------|---|-----------------------|----------------------|------------------|-------------|------------------------------|----------|
| July - 2021 | 25,963 | 282,034 | 20,182 | 119 | 8/31/2021 | 12/7/2022 | Stephanie Rangel | 0 | 0 | Accepted |
| August - 2021 | 25,964 | 280,486 | 20,081 | 119 | 9/30/2021 | 12/7/2022 | Stephanie Rangel | 0 | 0 | Accepted |
| September - 2021 | 26,014 | 278,648 | 20,264 | 119 | 10/27/2021 | 12/7/2022 | Stephanie Rangel | 0 | 0 | Accepted |
| October - 2021 | 26,133 | 282,239 | 20,678 | 119 | 11/29/2021 | 12/7/2022 | Stephanie Rangel | 0 | 0 | Accepted |
| November - 2021 | 21,744 | 240,903 | 19,553 | 119 | 12/30/2021 | 12/7/2022 | Stephanie Rangel | 0 | 0 | Accepted |
| December - 2021 | 21,845 | 241,576 | 20,199 | 119 | 1/28/2022 | 12/7/2022 | Stephanie Rangel | 0 | 0 | Accepted |
| January - 2022 | 20,492 | 230,872 | 18,680 | 119 | 2/28/2022 | 12/7/2022 | Stephanie Rangel | 0 | 0 | Accepted |
| February - 2022 | 20,535 | 228,887 | 18,555 | 119 | 3/31/2022 | 12/7/2022 | Stephanie Rangel | 0 | 0 | Accepted |
| March - 2022 | 24,864 | 260,595 | 20,689 | 119 | 4/28/2022 | 12/7/2022 | Stephanie Rangel | 0 | 0 | Accepted |
| April - 2022 | 23,357 | 248,489 | 19,512 | 119 | 5/26/2022 | 12/7/2022 | Stephanie Rangel | 0 | 0 | Accepted |
| May - 2022 | 22,909 | 252,655 | 19,571 | 119 | 6/30/2022 | 12/7/2022 | Stephanie Rangel | 0 | 0 | Accepted |
| June - 2022 | 24,539 | 251,277 | 19,970 | 119 | 7/29/2022 | 12/7/2022 | Stephanie Rangel | 0 | 0 | Accepted |
| | 284,359 | 3,078,661 | 237,934 | 119 | | | | | | |

| | |
|----------------------|---|
| NTD ID | 90032 |
| Reporter Name | City of Phoenix Public Transit Department |
| Report | 2022 (Revision: 6) |

Service Non-Rail (S-10) - MB PT

Maximum Service Vehicles

| | |
|---|------------|
| Vehicles Operated in Annual Maximum Service (VOMS) | 388 |
| Vehicles Available for Annual Maximum Service | 519 |
| Total Monthly Ridership VOMS | 388 |

Periods Of Service

| Field | Average Weekday Schedule | Average Saturday Schedule | Average Sunday Schedule | Weekday AM Peak | Weekday Midday | Weekday PM Peak |
|----------------------------|--------------------------|---------------------------|-------------------------|-----------------|----------------|-----------------|
| Time Service Begins | 3:00 AM | 3:45 AM | 3:45 AM | 5:00 AM | 8:30 AM | 1:00 PM |
| Time Service Ends | 2:30 AM | 4:15 AM | 1:15 AM | 8:30 AM | 1:00 PM | 6:00 PM |

Services Supplied

| | |
|------------------------------------|------------|
| Total Monthly Ridership VRH | 1,586,422 |
| Total Monthly Ridership VRM | 19,882,203 |

| Field | Average Weekday Schedule | Average Saturday Schedule | Average Sunday Schedule | Annual Total | Weekday AM Peak | Weekday Midday Peak | Weekday PM Peak | Weekday Other |
|---|--------------------------|---------------------------|-------------------------|--------------|-----------------|---------------------|-----------------|---------------|
| Vehicles in Operation | 388 | 207 | 204 | N/A | | | | |
| Total Actual Vehicle Miles | 73,190 | 51,172 | 50,904 | 24,276,772 | N/A | N/A | N/A | N/A |
| Total Actual Vehicle Revenue Miles (VRM) | 59,660 | 42,799 | 42,128 | 19,882,203 | N/A | N/A | N/A | N/A |
| Deadhead Miles | 13,530 | 8,373 | 8,776 | 4,394,569 | N/A | N/A | N/A | N/A |
| Total Scheduled Vehicle Revenue Miles | 61,926 | 45,044 | 44,460 | 20,712,093 | N/A | N/A | N/A | N/A |
| Total Actual Vehicle Hours | 5,657 | 3,728 | 3,683 | 1,850,050 | N/A | N/A | N/A | N/A |
| Total Actual Vehicle Revenue Hours (VRH) | 4,852 | 3,193 | 3,156 | 1,586,422 | N/A | N/A | N/A | N/A |
| Deadhead Hours | 805 | 535 | 527 | 263,628 | N/A | N/A | N/A | N/A |
| Charter Service Hours | N/A | N/A | N/A | 0 | N/A | N/A | N/A | N/A |
| School Bus Hours | N/A | N/A | N/A | 0 | N/A | N/A | N/A | N/A |

Services Consumed

| Total Monthly Ridership Unlinked Passenger Trips (UPT) | | | | 19,717,393 |
|---|-------------------------------------|--------------------------------------|------------------------------------|---------------------|
| Field | Average Weekday Schedule | Average Saturday Schedule | Average Sunday Schedule | Annual Total |
| Unlinked Passenger Trips | 67,707 | 26,499 | 18,520 | 19,717,393 |
| Passenger Miles Traveled (PMT) | 218,566 | 88,281 | 51,564 | 63,320,770 |

Service Operated (Days)

| Field | Average Weekday Schedule | Average Saturday Schedule | Average Sunday Schedule | Annual Total |
|--|-------------------------------------|--------------------------------------|------------------------------------|---------------------|
| Days Operated | 255 | 52 | 58 | 365 |
| Days Not Operated (Strikes) | | | | 0 |
| Days Not Operated (Officially Declared Emergencies) | | | | 0 |

Directional Route Miles

| | |
|--|----------|
| Transit Exclusive Right-of-Way (ROW) | 0.00 |
| Mixed Traffic Right-of-Way (ROW) | 1,615.0 |
| Shared Use - HOV/T Right-of-Way (ROW) | 125.70 |
| Total Directional Route Miles | 1,740.70 |

| | |
|----------------------|---|
| NTD ID | 90032 |
| Reporter Name | City of Phoenix Public Transit Department |
| Report | 2022 (Revision: 6) |

Service Non-Rail (S-10) - DR PT

Maximum Service Vehicles

| | |
|---|------------|
| Vehicles Operated in Annual Maximum Service (VOMS) | 119 |
| Vehicles Available for Annual Maximum Service | 149 |
| Total Monthly Ridership VOMS | 119 |

Periods Of Service

| Field | Average Weekday Schedule | Average Saturday Schedule | Average Sunday Schedule | Weekday AM Peak | Weekday Midday | Weekday PM Peak |
|----------------------------|--------------------------|---------------------------|-------------------------|-----------------|----------------|-----------------|
| Time Service Begins | 3:30 AM | 4:00 AM | 4:00 AM | | | |
| Time Service Ends | 11:30 PM | 11:30 PM | 11:00 PM | | | |

Services Supplied

| | |
|------------------------------------|-----------|
| Total Monthly Ridership VRH | 237,934 |
| Total Monthly Ridership VRM | 3,078,661 |

| Field | Average Weekday Schedule | Average Saturday Schedule | Average Sunday Schedule | Annual Total |
|---|--------------------------|---------------------------|-------------------------|--------------|
| Vehicles in Operation | 110 | 60 | 55 | N/A |
| Total Actual Vehicle Miles | 11,924 | 6,211 | 5,325 | 3,672,344 |
| Total Actual Vehicle Revenue Miles (VRM) | 10,014 | 5,205 | 4,386 | 3,078,661 |
| Deadhead Miles | 1,910 | 1,006 | 939 | 593,683 |
| Total Actual Vehicle Hours | 971 | 504 | 424 | 298,504 |
| Total Actual Vehicle Revenue Hours (VRH) | 775 | 406 | 333 | 237,934 |
| Deadhead Hours | 196 | 98 | 91 | 60,570 |
| Charter Service Hours | N/A | N/A | N/A | 0 |
| School Bus Hours | N/A | N/A | N/A | 0 |

Services Consumed

| | |
|---|---------|
| Total Monthly Ridership Unlinked Passenger Trips (UPT) | 284,359 |
|---|---------|

| Field | Average Weekday Schedule | Average Saturday Schedule | Average Sunday Schedule | Annual Total |
|------------------------------------|-----------------------------|------------------------------|----------------------------|--------------|
| Unlinked Passenger Trips | 921 | 503 | 400 | 284,359 |
| ADA Unlinked Passenger Trips (UPT) | N/A | N/A | N/A | 275,730 |
| Sponsored Service (UPT) | N/A | N/A | N/A | 0 |
| Passenger Miles Traveled (PMT) | 7,894 | 3,278 | 3,444 | 2,383,246 |

Service Operated (Days)

| Field | Average Weekday Schedule | Average Saturday Schedule | Average Sunday Schedule | Annual Total |
|--|-----------------------------|------------------------------|----------------------------|--------------|
| Days Operated | 255 | 52 | 58 | 365 |
| Days Not Operated (Strikes) | | | | 0 |
| Days Not Operated (Officially Declared Emergencies) | | | | 0 |

| | |
|---------------|---|
| NTD ID | 90032 |
| Reporter Name | City of Phoenix Public Transit Department |
| Report | 2022 (Revision: 6) |

Service Vehicle Inventory (A-35)

| ID | Agency Fleet Id | Fleet Name | Vehicle Type | Primary Mode | Year Manufactured | Estimated Cost | Useful Life Benchmark (Years) | Useful Life Remaining (Years) | Total Vehicles | Transit Agency Capital Responsibility (%) | Year Dollars of Estimated Cost | Secondary Modes | Notes |
|-------|-----------------|------------|---------------------------------------|--------------|-------------------|----------------|-------------------------------|-------------------------------|----------------|---|--------------------------------|-----------------|--|
| 11078 | 052,053,055,056 | 2007 - M | Automobiles | MB - Bus | 2007 | \$72,000.00 | 8 | -7 | 4 | 100.00 | 2018 | DR | Inv # 810052; 81005 |
| 11259 | 152-157 | 2013 - T | Automobiles | MB - Bus | 2013 | \$246,000.00 | 8 | -1 | 6 | 100.00 | 2018 | | Inv #311152;311153;311154;3111 |
| 11260 | 019-024 | 2014 - I | Automobiles | MB - Bus | 2014 | \$252,000.00 | 8 | 0 | 6 | 100.00 | 2018 | | Inv # 411019;411020;411021;4110 |
| 11263 | 080-087 | 2015 - T | Automobiles | MB - Bus | 2015 | \$320,000.00 | 8 | 1 | 8 | 100.00 | 2018 | | #511080;511081;511082;511083;511084;5110 |
| 11264 | 063 | 2016 - F | Trucks and other Rubber Tire Vehicles | MB - Bus | 2016 | \$30,000.00 | 14 | 8 | 1 | 100.00 | 2018 | | |
| 11267 | 241 | 2016 - I | Automobiles | MB - Bus | 2016 | \$25,000.00 | 8 | 2 | 1 | 100.00 | 2018 | | |
| 11268 | 464-467 | 2016 - T | Trucks and other Rubber Tire Vehicles | MB - Bus | 2016 | \$200,000.00 | 14 | 8 | 4 | 100.00 | 2018 | | Inv #611464;6114 |
| 11269 | 983-987 | 2017 - T | Trucks and other Rubber Tire Vehicles | MB - Bus | 2017 | \$276,000.00 | 14 | 9 | 6 | 100.00 | 2018 | | Inv #711983;711984;7119 |
| 11270 | 977 | 2017 - T | Automobiles | MB - Bus | 2017 | \$40,000.00 | 8 | 3 | 1 | 100.00 | 2018 | | |
| 11271 | 040 | 2018 - M | Automobiles | MB - Bus | 2018 | \$30,000.00 | 8 | 4 | 1 | 100.00 | 2018 | | |
| 11272 | 50-53 | 2018 - T | Automobiles | MB - Bus | 2018 | \$192,000.00 | 8 | 4 | 4 | 100.00 | 2018 | | Inv # 811050; 81105 |
| 22737 | 115,116 | 2018- I | Automobiles | MB - Bus | 2018 | \$50,000.00 | 8 | 4 | 2 | 100.00 | 2019 | | |
| 22738 | 014 | 2018- E | Automobiles | MB - Bus | 2018 | \$28,000.00 | 8 | 4 | 1 | 100.00 | 2019 | | |
| 25265 | 45,157,158 | 2018- S | Trucks and other Rubber Tire Vehicles | MB - Bus | 2018 | \$75,000.00 | 14 | 10 | 3 | 100.00 | 2021 | | Inv #8210 |
| 25266 | 234 | 2019- S | Trucks and other Rubber Tire Vehicles | MB - Bus | 2019 | \$30,000.00 | 14 | 11 | 1 | 100.00 | 2020 | | |
| 28174 | 242 | 2020- C | Trucks and other Rubber Tire Vehicles | MB - Bus | 2020 | \$26,000.00 | 14 | 12 | 1 | 100.00 | 2021 | | |
| 28175 | 238,240 | 2020- E | Automobiles | MB - Bus | 2020 | \$50,000.00 | 8 | 6 | 2 | 100.00 | 2021 | | |
| 28176 | 311,312,313 | 2021- E | Automobiles | MB - Bus | 2021 | \$80,000.00 | 8 | 7 | 3 | 100.00 | 2021 | | #120311 |
| 28177 | 338-340,007 | 2020- T | Automobiles | MB - Bus | 2020 | \$100,000.00 | 8 | 6 | 4 | 100.00 | 2021 | | #011338,#011339 |
| 28178 | 2015-CV | 534 | Automobiles | MB - Bus | 2015 | \$25,000.00 | 8 | 1 | 2 | 100.00 | 2021 | | |
| 28179 | 2017-T | 974 | Trucks and other Rubber Tire Vehicles | MB - Bus | 2017 | \$40,000.00 | 14 | 9 | 1 | 100.00 | 2021 | | |
| 28180 | 2019-T | 752 | Trucks and other Rubber Tire Vehicles | MB - Bus | 2019 | \$40,000.00 | 14 | 11 | 1 | 100.00 | 2021 | | |

Total Vehicles: 63

| | |
|----------------------|---|
| NTD ID | 90032 |
| Reporter Name | City of Phoenix Public Transit Department |
| Report | 2022 (Revision: 6) |

Sources of Funds - Funds Expended & Funds Earned (F-10)

Directly Generated Funds - Passenger Fares (4110)

| Field | Passenger-Paid Fares (4111) | Organization-Paid Fares (4112) | Total |
|------------------------------|-----------------------------|--------------------------------|---------------------|
| DR PT | \$502,968 | \$0 | \$502,968 |
| MB PT | \$11,662,645 | \$0 | \$11,662,645 |
| Total Passenger Fares | \$12,165,613 | \$0 | \$12,165,613 |

Directly Generated Funds (4100)

| Field | Funds Earned | Funds Expended on Operations | Funds Expended on Capital |
|---|---|------------------------------|---------------------------|
| Total Passenger Fares (4110) | \$12,165,613 | | |
| Park and Ride Parking Revenues (4120) | \$0 | | |
| Non-Public Transportation Revenues (4130) | \$0 | | |
| Auxiliary Transportation Funds (4140) | | | |
| Auxiliary Transportation Funds - Advertising Revenues (4141) | \$5,937,000 | | |
| Auxiliary Transportation Funds - Concessions (4142) | \$0 | | |
| Auxiliary Transportation Funds - Other (4149) | \$0 | | |
| Other Agency Revenues (4150) | \$5,864,485 | | |
| Revenues Accrued Through a Purchased Transportation Agreement (4160) | | | |
| Revenues Accrued Through a Purchased Transportation Agreement - with a NTD reporting agency | \$0 | | |
| Revenues Accrued Through a Purchased Transportation Agreement - with a non-NTD reporting agency | \$0 | | |
| Subsidy from Other Sectors of Operations (4170) | \$0 | | |
| Extraordinary and Special Items (4180) | \$0 | | |
| Total Recoveries (4190) | \$365,978 | | |
| Total Directly Generated Funds | \$24,333,076 | \$26,914,353 | \$915 |
| Describe Other Agency Revenues (4150) | Interest income, building rental income, parking income, and external activities. | | |

Directly Generated Dedicated Funds (4200)

| Field | Funds Earned | Funds Expended on Operations | Funds Expended on Capital |
|----------------------------|--------------|------------------------------|---------------------------|
| Income Taxes (4210) | \$0 | | |

| Field | Funds Earned | Funds Expended on Operations | Funds Expended on Capital |
|---|--------------|------------------------------|---------------------------|
| Sales Taxes (4220) | \$0 | | |
| Property Taxes (4230) | \$0 | | |
| Fuel Taxes (4240) | \$0 | | |
| Other Taxes (4250) | \$0 | | |
| Bridge, Tunnel and Highway Tolls (4260) | \$0 | | |
| High Occupancy Tolls (4270) | \$0 | | |
| Other Dedicated Funds (4290) | \$0 | | |
| Total Directly Generated Dedicated Funds | \$0 | \$0 | \$0 |

Local Government Funds (4300)

| Field | Funds Earned | Funds Expended on Operations | Funds Expended on Capital |
|---|----------------------|------------------------------|---------------------------|
| General Revenues of the Local Government (4310) | \$4,315,255 | | |
| Income Taxes (4321) | \$0 | | |
| Sales Taxes (4322) | \$291,736,106 | | |
| Property Taxes (4323) | \$0 | | |
| Fuel Taxes (4324) | \$0 | | |
| Other Taxes (4325) | \$0 | | |
| Bridge, Tunnel and Highway Tolls (4326) | \$0 | | |
| High Occupancy Tolls (4327) | \$0 | | |
| Other Dedicated Funds (4329) | \$0 | | |
| Extraordinary and Special Items (4330) | \$0 | | |
| Other Local Funds (4390) | \$0 | | |
| Total Local Government Funds | \$296,051,361 | \$34,641,188 | \$8,954,755 |

State Government Funds (4400)

| Field | Funds Earned | Funds Expended on Operations | Funds Expended on Capital |
|---|--------------------|------------------------------|---------------------------|
| General Revenues of the State Government (4410) | \$4,052,686 | | |
| State Transportation Funds (4420) | \$0 | | |
| Extraordinary and Special Items (4430) | \$0 | | |
| Total State Government Funds | \$4,052,686 | \$4,052,686 | \$0 |

Federal Funds (4500)

| Field | Funds Earned | Funds Expended on Operations | Funds Expended on Capital |
|---|--------------|------------------------------|---------------------------|
| FTA Metropolitan Planning (5303) | \$0 | \$0 | \$0 |
| FTA Urbanized Area Formula Program (5307) | \$21,609,160 | \$0 | \$10,125,614 |
| Capital Assistance Spent on Operations (including maintenance expenses (5307) | \$0 | \$11,483,546 | \$0 |
| ARRA Urbanized Area Program Funds (5307) | \$0 | \$0 | \$0 |
| ARRA Urbanized Area Program Funds (5307) - Capital | \$0 | \$0 | \$0 |
| CARES Act Urbanized Area Program Funds (§5307) | \$1,844,003 | \$1,844,003 | \$0 |
| CRRSA Act Urbanized Area Program Funds (§5307) | \$43,118,567 | \$43,118,567 | \$0 |
| American Rescue Plan Act of 2021 Urbanized Area Program Funds (§5307) | \$89,510,745 | \$89,510,745 | \$0 |

| Field | Funds Earned | Funds Expended on Operations | Funds Expended on Capital |
|---|----------------------|------------------------------|---------------------------|
| FTA Clean Fuels Program (5308) | \$0 | \$0 | \$0 |
| FTA Capital Investment Grants (5309) | \$0 | \$0 | \$0 |
| ARRA Major Capital investment (New Starts) Funds (5309) | \$0 | \$0 | \$0 |
| ARRA Fixed Guideway Modernization Funds (5309) | \$0 | \$0 | \$0 |
| American Rescue Plan Act of 2021 Fixed Guideway Capital Investment Grants (§5309) | \$0 | \$0 | \$0 |
| FTA Enhanced Mobility of Seniors and Individuals with Disabilities Formula Program (5310) | \$525,131 | \$0 | \$273,528 |
| Capital Assistance Spent on Operations (including maintenance expenses) (5310) | \$0 | \$251,603 | \$0 |
| CRRSA Act Enhanced Mobility of Seniors and Individuals with Disabilities Program Funds (§5310) | \$0 | \$0 | \$0 |
| American Rescue Plan Act of 2021 Enhanced Mobility of Seniors and Individuals with Disabilities Program Funds (§5310) | \$0 | \$0 | \$0 |
| FTA Formula Grants for Rural Areas (5311) | \$0 | \$0 | \$0 |
| Capital Assistance Spent on Operations (including maintenance expenses) (5311) | \$0 | \$0 | \$0 |
| FTA ARRA Other than Urbanized Area Program Funds (5311) | \$0 | \$0 | \$0 |
| FTA ARRA Capital Assistance Spent on Operations (including maintenance expenses) (5311) | \$0 | \$0 | \$0 |
| FTA Tribal Transit Funds (5311) | \$0 | \$0 | \$0 |
| FTA ARRA Tribal Transit Funds (5311) | \$0 | \$0 | \$0 |
| CARES Act Rural Area Program Funds (§5311) | \$0 | \$0 | \$0 |
| CARES Act Public Transportation on Indian Reservations Program Funds (§5311) | \$0 | \$0 | \$0 |
| CRRSA Act Rural Area Program Funds (§5311) | \$0 | \$0 | \$0 |
| CRRSA Act Public Transportation on Indian Reservations Program Funds (§5311) | \$0 | \$0 | \$0 |
| American Rescue Plan Act of 2021 Rural Area Program Funds (§5311) | \$0 | \$0 | \$0 |
| American Rescue Plan Act of 2021 Public Transportation on Indian Reservations Program Funds (§5311) | \$0 | \$0 | \$0 |
| FTA Job Access and Reverse Commute Formula Program (5316) | \$0 | \$0 | \$0 |
| FTA New Freedom Program (5317) | \$0 | \$0 | \$0 |
| Capital Assistance Spent on Operations (including maintenance expenses) (5317) | \$0 | \$0 | \$0 |
| FTA Transit in Parks (5320) | \$0 | \$0 | \$0 |
| FTA State of Good Repair Program (5337) | \$1,661,684 | \$354,169 | \$1,307,515 |
| FTA Bus and Bus Facilities (5339) | \$1,901,984 | \$0 | \$1,901,984 |
| Other USDOT Grants | \$0 | \$0 | \$0 |
| ARRA TIGGER (Greenhouse Gas and Energy Reduction) Funds | \$0 | \$0 | \$0 |
| ARRA TIGER Multimodal Discretionary Program | \$0 | \$0 | \$0 |
| Extraordinary and Special Items | \$0 | \$0 | \$0 |
| Other FTA Funds | \$0 | \$0 | \$0 |
| Capital Assistance Spent on Operations (including maintenance expenses) (Other FTA Funds) | \$0 | \$0 | \$0 |
| Other Federal Funds | \$0 | \$0 | \$0 |
| Total for Federal Funding Sources | \$160,171,274 | \$146,562,633 | \$13,608,641 |

Non-Added Revenues (4600)

| Field | Funds Earned | Funds Expended on Operations | Funds Expended on Capital |
|--|--------------|------------------------------|---------------------------|
| Contributed Services (4610) | \$0 | \$0 | \$0 |
| Voluntary Non-Exchange Transactions (4620) | \$0 | \$0 | \$0 |
| Sales and Disposals of Assets (4630) | \$0 | \$0 | \$0 |
| Transportation Development Credits (4640) | \$0 | \$0 | \$0 |
| Total Non-Added Revenues | \$0 | \$0 | \$0 |

Sources of Funds - Funds Expended & Funds Earned (F-10) - Summary

| Field | Funds Earned | Funds Expended on Operations | Funds Expended on Capital |
|---|----------------------|------------------------------|---------------------------|
| Directly Generated Funds (4100) | \$24,333,076 | \$26,914,353 | \$915 |
| Directly Generated Dedicated Funds (4200) | \$0 | \$0 | \$0 |
| Local Government Funds (4300) | \$296,051,361 | \$34,641,188 | \$8,954,755 |
| State Government Funds (4400) | \$4,052,686 | \$4,052,686 | \$0 |
| Federal Funds (4500) | \$160,171,274 | \$146,562,633 | \$13,608,641 |
| Non-Added Revenues (4600) | \$0 | \$0 | \$0 |
| Directly Generated Total Funds | \$24,333,076 | \$26,914,353 | \$915 |
| Total Funds | \$484,608,397 | \$212,170,860 | \$22,564,311 |

| | |
|----------------------|---|
| NTD ID | 90032 |
| Reporter Name | City of Phoenix Public Transit Department |
| Report | 2022 (Revision: 6) |

Stations and Maintenance Facilities - PT (A-10) - MB PT

Passenger Stations

| Stations | Number of Facilities |
|--|-----------------------------|
| Americans with Disabilities Act of 1990 (ADA) accessible | 1 |
| Americans with Disabilities Act of 1990 (ADA) non-accessible | |
| Total Stations | |

Escalators/Elevators

| Other Facilities | Number of Facilities |
|-------------------------|-----------------------------|
| Elevators | |
| Escalators | |

Maintenance Facilities

| Type | Owned By Service Provider | Owned by Public Agency for Service Provider | Leased by Public Agency for Service Provider | Leased by Service Provider | Totals |
|--|--|--|---|---|---------------|
| General Maintenance Facilities (Less than 200 Vehicles) | | | 1 | | 1 |
| General Maintenance Facilities (Between 200 - 300 Vehicles) | | | 2 | | 2 |
| General Maintenance Facilities (Greater than 300 Vehicles) | | | | | 0 |
| Heavy Maintenance Facilities | | | | | 0 |
| Totals | 0 | 3 | 0 | 0 | 3 |

| | |
|----------------------|---|
| NTD ID | 90032 |
| Reporter Name | City of Phoenix Public Transit Department |
| Report | 2022 (Revision: 6) |

Stations and Maintenance Facilities - PT (A-10) - DR PT

Maintenance Facilities

| Type | Owned By Service Provider | Owned by Public Agency for Service Provider | Leased by Public Agency for Service Provider | Leased by Service Provider | Totals |
|--|---------------------------------|---|--|----------------------------------|----------|
| General Maintenance Facilities (Less than 200 Vehicles) | | | | 1 | 1 |
| General Maintenance Facilities (Between 200 - 300 Vehicles) | | | | | 0 |
| General Maintenance Facilities (Greater than 300 Vehicles) | | | | | 0 |
| Heavy Maintenance Facilities | | | | | 0 |
| Totals | 0 | 0 | 0 | 1 | 1 |

| | |
|---------------|---|
| NTD ID | 90032 |
| Reporter Name | City of Phoenix Public Transit Department |
| Report | 2022 (Revision: 6) |

Transit Asset Management Facilities Inventory (A-15)

| ID | Name | Section of Larger Facility? | Street | City | State | Zip | Lat | Long | Condition Assessment | Est. Date of Condition Assessment | Primary Mode | Non-Agency Mode | Secondary Modes | Private Mode | Facility Type | Year Built or Reconstructed as New |
|-------|-----------------------------|-----------------------------|---------------------------|----------|-------|-------|------------|--------------|----------------------|-----------------------------------|--------------|-----------------|-----------------|--------------|--|------------------------------------|
| 3383 | West Transit Facility | false | 405 N. 79th Ave | Phoenix | AZ | 85037 | 33.4525120 | -112.2273510 | 3 | 6/30/2022 | MB | | | | Combined Administrative and Maintenance Facility (describe in Notes) | 200 |
| 3567 | South Transit Facility | false | 2225 W Lower Buckeye Road | Phoenix | AZ | 85009 | 33.4223510 | -112.1633180 | 3 | 6/30/2022 | MB | | | | Combined Administrative and Maintenance Facility (describe in Notes) | 198 |
| 3568 | North Transit Facility | false | 2010 W Desert Cove Road | Phoenix | AZ | 85030 | 33.5871710 | -112.1016040 | 3 | 6/30/2022 | MB | | | | Combined Administrative and Maintenance Facility (describe in Notes) | 198 |
| 9246 | Central Station | false | 300 N Central Ave | Phoenix | AZ | 85003 | 33.4521710 | -112.0743000 | 1 | 6/30/2022 | MB | | | | Bus Transfer Center | 201 |
| 19619 | City of Chandler Transit | false | 2100 S Hamilton | Chandler | AZ | 85244 | 33.2758000 | -111.8333000 | 4 | 6/9/2022 | MB | | | | Other, Passenger or Parking (describe in Notes) | 200 |
| 19620 | City of Goodyear | false | 13183 W CORNERSTONE | GOODYEAR | AZ | 85338 | 33.4616000 | -112.3434000 | 3 | 6/30/2022 | MB | | | | Other, Passenger or Parking (describe in Notes) | 201 |
| 19621 | WEST MESA PARK-AND-RIDE | false | 461 W JUANITA | MESA | AZ | 85210 | 33.8136000 | -111.8416000 | 4 | 6/30/2022 | MB | | | | Other, Passenger or Parking (describe in Notes) | 201 |
| 19622 | GILBERT ROAD PARK-AND-RIDE | false | 2831 N GILBERT ROAD | MESA | AZ | 85213 | 33.4674000 | -111.7864000 | 4 | 6/30/2022 | MB | | | | Other, Passenger or Parking (describe in Notes) | 201 |
| 19623 | RED MOUNTAIN PARK-AND-RIDE | false | 3055 N POWER ROAD | MESA | AZ | 85215 | 33.4737000 | -111.6834000 | 4 | 6/30/2022 | MB | | | | Other, Passenger or Parking (describe in Notes) | 201 |
| 19624 | SYCAMORE TRANSIT CENTER | false | 1804 W MAIN ST | MESA | AZ | 85201 | 33.4158000 | -111.8704000 | 3 | 6/30/2022 | MB | | | | Other, Passenger or Parking (describe in Notes) | 200 |
| 19625 | GILBERT/MAIN TRANSIT CENTER | false | 1841 E MAIN ST | MESA | AZ | 85201 | 33.4148000 | -111.7900000 | 5 | 6/30/2022 | MB | | | | Other, Passenger or Parking (describe in Notes) | 201 |

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|----------------------|---|
| NTD ID | 90032 |
| Reporter Name | City of Phoenix Public Transit Department |
| Report | 2022 (Revision: 6) |

Transit Asset Management Performance Measure Targets (A-90)

1) Rolling Stock - Percent of revenue vehicles that have met or exceeded their useful life benchmark

| Performance Measure | 2022 Target (%) | 2022 Performance (%) | 2022 Difference | 2023 Target (%) |
|------------------------------------|-----------------|----------------------|-----------------|-----------------|
| AB - Articulated Bus | 0.00 | 0.00 | 0.00 | 0.00 |
| AO - Automobile | N/A | | | N/A |
| BR - Over-the-road Bus | N/A | | | N/A |
| BU - Bus | 0.00 | 0.00 | 0.00 | 0.00 |
| CU - Cutaway | 0.00 | 0.00 | 0.00 | 0.00 |
| DB - Double Decker Bus | N/A | | | N/A |
| MV - Minivan | N/A | | | N/A |
| OR - Other | N/A | | | N/A |
| SB - School Bus | N/A | | | N/A |
| SV - Sports Utility Vehicle | N/A | | | N/A |
| VN - Van | N/A | | | N/A |

2) Equipment - Percent of service vehicles that have met or exceeded their useful life benchmark

| Performance Measure | 2022 Target (%) | 2022 Performance (%) | 2022 Difference | 2023 Target (%) |
|--|-----------------|----------------------|-----------------|-----------------|
| Automobiles | 0.00 | 35.56 | -35.56 | 35.56 |
| Trucks and other Rubber Tire Vehicles | 0.00 | 0.00 | 0.00 | 0.00 |
| Steel Wheel Vehicles | N/A | | | N/A |

3) Facility - Percent of facilities rated below 3 on the condition scale

| Performance Measure | 2022 Target (%) | 2022 Performance (%) | 2022 Difference | 2023 Target (%) |
|--|-----------------|----------------------|-----------------|-----------------|
| Passenger / Parking Facilities | 0.00 | 12.50 | -12.50 | 0.00 |
| Administrative / Maintenance Facilities | 0.00 | 0.00 | 0.00 | 0.00 |

| | |
|---------------|---|
| NTD ID | 90032 |
| Reporter Name | City of Phoenix Public Transit Department |
| Report | 2022 (Revision: 6) |

Transit Way Mileage (A-20)

Non-Rail Lane Miles

| Mode | Type of Service | Exclusive Fixed Guideway | Exclusive High Intensity Bus | Controlled Access High Intensity Bus | Total Miles |
|------|-----------------|--------------------------|------------------------------|--------------------------------------|-------------|
| MB | PT | | | 125.70 | 125.70 |

| | |
|----------------------|---|
| NTD ID | 90032 |
| Reporter Name | City of Phoenix Public Transit Department |
| Report | 2022 (Revision: 6) |

Uses of Capital (F-20)

Rehabilitation / Reconstruction / Replacement / Improvement for Existing Service

| Mode | Guideway (6100) | Passenger Stations (6200) | Administrative Buildings (6300) | Maintenance Buildings (6400) | Revenue Vehicles (6500) | Service Vehicles (6600) | Fare Collection Equipment (6700) | Communication/Information Systems (6800) | Other Capital Expenses (6900) | Total |
|--------------|--------------------|---------------------------------|---------------------------------------|------------------------------------|-------------------------------|-------------------------------|---|---|--|---------------------|
| DR PT | \$0 | \$0 | \$0 | \$0 | \$1,716,362 | \$0 | \$0 | \$0 | \$0 | \$1,716,362 |
| MB PT | \$0 | \$254 | \$918,121 | \$66,319 | \$693,832 | \$79,988 | \$195,166 | \$14,818,969 | \$4,075,300 | \$20,847,949 |
| Total | \$0 | \$254 | \$918,121 | \$66,319 | \$2,410,194 | \$79,988 | \$195,166 | \$14,818,969 | \$4,075,300 | \$22,564,311 |

Explanations

| Mode | Explanation for 'Other' |
|-------|--|
| MB PT | Bus pullouts and bus shelter furniture installation. |

Expansion of Service

| Mode | Guideway (6100) | Passenger Stations (6200) | Administrative Buildings (6300) | Maintenance Buildings (6400) | Revenue Vehicles (6500) | Service Vehicles (6600) | Fare Collection Equipment (6700) | Communication/Information Systems (6800) | Other Capital Expenses (6900) | Total |
|--------------|--------------------|---------------------------------|---------------------------------------|------------------------------------|-------------------------------|-------------------------------|---|---|--|------------|
| DR PT | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| MB PT | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| Total | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |

Total

| Mode | Guideway (6100) | Passenger Stations (6200) | Administrative Buildings (6300) | Maintenance Buildings (6400) | Revenue Vehicles (6500) | Service Vehicles (6600) | Fare Collection Equipment (6700) | Communication/Information Systems (6800) | Other Capital Expenses (6900) | Total |
|--------------|--------------------|---------------------------------|---------------------------------------|------------------------------------|-------------------------------|-------------------------------|---|---|--|---------------------|
| DR PT | \$0 | \$0 | \$0 | \$0 | \$1,716,362 | \$0 | \$0 | \$0 | \$0 | \$1,716,362 |
| MB PT | \$0 | \$254 | \$918,121 | \$66,319 | \$693,832 | \$79,988 | \$195,166 | \$14,818,969 | \$4,075,300 | \$20,847,949 |
| Total | \$0 | \$254 | \$918,121 | \$66,319 | \$2,410,194 | \$79,988 | \$195,166 | \$14,818,969 | \$4,075,300 | \$22,564,311 |

Independent Accountant's Report on Applying Agreed-Upon Procedures

City of Phoenix, Arizona and
the Federal Transit Administration

We have performed the procedures enumerated below, on the application of the requirements of the Federal Transit Administration (FTA) as set forth in its applicable National Transit Database (NTD) Uniform System of Accounts (USOA) by the City of Phoenix, Arizona (City) for the fiscal year ended June 30, 2018. Such procedures, which were agreed to by the management of the City and the FTA, were performed to assist the City and FTA in determining conformance with USOA requirements based on the following assertion by the City's management:

- The accounting system from which the NTD reports for the year ended June 30, 2018, were derived, uses the accrual basis of accounting and is directly translated, using a clear audit trail, to the accounting treatment and categories specified by the USOA.

The City's management is responsible for conformance with the requirements described above. The sufficiency of these procedures is solely the responsibility of the parties specified in this report. Consequently, we make no representation regarding the sufficiency of the procedures described below either for the purpose for which this report has been requested or for any other purpose.

The agreed-upon procedures and associated findings, if any, are as follows:

1. Procedure: NTD Crosswalk

- a. Obtain the following NTD Reporting Forms prepared by management for the year ended June 30, 2018:
 - NTD Form F-10, *Sources of Funds – Funds Expended and Funds Earned* (USOA Section 2)
 - NTD Form F-20, *Uses of Capital* (USOA Section 3)
 - NTD Form F-30, *Operating Expenses* (USOA Sections 4, 5, and 6, and Appendix A)
 - NTD Form F-40, *Operating Expenses Summary*
 - NTD Form F-60, *Financial Statement*
- b. Obtain the reconciliation documentation management prepares (referred to as "the crosswalk" throughout this report) to reconcile the chart of accounts, general ledger and/or trial balance and other supporting documents such as Excel spreadsheets (collectively referred to as the accounting system) to the respective NTD Reporting Forms identified above.
- c. Inquire of management as to whether the crosswalk obtained in *Procedure 1.b* is supported by the accounting system.

- d. For a transit agency that is part of a larger reporting entity, inquire of management as to whether the crosswalk includes the full cost of providing transit service, including costs incurred by the larger reporting entity to specifically support the agency's transit service.
- e. Inspect the crosswalk to determine that it incorporates NTD reporting using the applicable modes and types of service identified in the bulleted list below:
 - Sources of Funds, Form F-10 – Funding sources, passenger fares by mode and service type, passenger fares by passenger paid or by organization paid fares, revenue object class and funds expended on operations and capital fund types
 - Uses of Capital, Form F-20 - Type of use, asset classifications and modes and service types
 - Operating Expenses, Form F-30 - Modes, service types, object classes and functions
 - Operating Expenses Summary, Form F-40 - Expense reconciling items
 - Financial Statement, Form F-60 - Current assets, non-current assets, deferred outflows of resources, current liabilities, non-current liabilities and deferred inflows of resources.

Findings:

No exceptions were found as a result of this procedure. The City is not required to submit Form F-60; therefore, procedures applicable to this Form were not performed.

2. Procedure: Accrual Accounting

- a. Obtain the most recent audited financial statements that include the transit agency and inspect the notes to the financial statements to determine whether the accrual basis of accounting was used.
- b. Inquire of management as to whether the accrual basis of accounting has continued to be used since the last audited reporting period and that it is used for NTD reporting in the current period.
- c. If the notes to the financial statements indicate that an accrual basis of accounting is not being used, or the results of the inquiry to management in *Procedure 2.b* indicate the accrual basis of accounting is not being used in the current period, inspect the crosswalk to determine that the transit agency made adjustments to convert to an accrual basis for NTD reporting.

Findings:

No exceptions were found as a result of this procedure.

3. Procedure: Sources of Funds (Form F-10)

- a. Trace and agree total sources of funds from Form F-10 to revenue reported in the accounting system using the crosswalk.
- b. Inspect the crosswalk for a written reconciliation between total revenues reported in the audited financial statements or the accounting system and the total revenues reported on Form F-10.
- c. Trace and agree the two largest directly generated fund passenger fare revenue modes (all service types) from Form F-10 to the accounting system.

- d. Trace and agree the largest revenue object class (other than passenger fares) in the following major categories of funds from Form F-10 to the accounting system: (1) Local Government; (2) State Government; (3) Federal Funds; and (4) Other Directly Generated Funds (i.e., 4100 and 4200 combined).
- e. Inspect the crosswalk to determine that it identifies, evaluates, and classifies financial transactions into categories of funds expended on operations and funds expended on capital (USOA Section 2) for the reporting year.

Findings:

No exceptions were found as a result of this procedure.

4. Procedure: Uses of Capital (Form F-20)

- a. Obtain accounting system documentation on capital asset additions for the reporting period.
- b. Trace and agree total uses of capital from Form F-20 to the crosswalk reconciliation of total capital asset additions.
- c. Trace and agree types of use (existing service and expansion of service) from Form F-20 to the crosswalk or other supporting documentation reflecting the nature of the uses of capital.
- d. Trace and agree asset classifications (guideway, revenue vehicles, etc.) from Form F-20 to the crosswalk or other documentation reflecting the assets classes of capital additions.
- e. For the largest mode/service type, trace and agree the type of use classification and asset classification from Form F-20 to the crosswalk or other documentation reflecting the uses of capital.
- f. If capital projects support multiple modes/types of services or and/or asset classifications, inquire of management as to whether management reported the use of capital considering the predominant use rules as described in the "Predominant Use" section of the 2018 NTD Policy Manual.
- g. If capital projects involve: 1) Rehabilitation/Reconstruction/Replacement/Improvement for Existing Service; and 2) Expansion of Service; inquire of management as to whether project costs were allocated between the two project purposes and whether such allocation was documented in the crosswalk or other supporting documentation.

Findings:

No exceptions were found as a result of this procedure. The City did not have any capital projects that improved existing service or expanded service, therefore, procedures related to *Item g* were not performed.

5. Procedure: Operating Expenses (Form F-30)

- a. For the two largest modes/type of services, trace and agree functions (vehicle operations, vehicle maintenance, etc.) from Form F-30 to the crosswalk or other written documentation of functional expenses.
- b. For the two largest modes/type of services, trace and agree object classes (natural expenses) from Form F-30 to the crosswalk or other written documentation of object class categories.
- c. If management allocated shared operating expenses, inquire of management as to whether (1) the operating expenses are split between direct and shared costs; (2) shared costs were allocated across modes, services types and functions; (3) the allocation was documented in the crosswalk or other supporting documentation; and (4) the driving variables used are updated annually.

Findings:

No exceptions were found as a result of this procedure.

6. Procedure: Operating Expenses Summary (Form F-40)

- a. Obtain total expenses from the accounting system for the reporting period. Trace and agree total expenses from Form F-40 to the accounting system using the crosswalk.
- b. Trace and agree the reconciling items appearing on Form F-40 through the crosswalk to the accounting system.

Findings:

No exceptions were found as a result of this procedure.

7. Procedure: Financial Statement (Form F-60)

- a. Trace and agree (1) Current Assets; (2) Non-Current Assets; (3) Deferred Outflows of Resources; (4) Current Liabilities; (5) Non-Current Liabilities; and (6) Deferred Inflows of Resources appearing on Form F-60 to the crosswalk or other supporting documentation.

Findings:

The City is not required to submit Form F-60; therefore, the above procedures were not performed.

This agreed-upon procedures engagement was conducted in accordance with attestation standards established by the American Institute of Certified Public Accountants. We were not engaged to, and did not, conduct an examination or review, the objective of which would be the expression of an opinion or conclusion, respectively, the City's conformance with the requirements described above, for the year ended June 30, 2018. Accordingly, we do not express such an opinion or conclusion. Had we performed additional procedures, other matters might have come to our attention that would have been reported to you.

This report is intended solely for the information and use of management of the City and the FTA, and is not intended to be and should not be used by anyone other than these specified parties.

BKD, LLP

Dallas, Texas
October 29, 2018